

Electronic Acknowledgement Receipt

EFS ID:	6824285
Application Number:	10666979
International Application Number:	
Confirmation Number:	8620
Title of Invention:	Method and system for transfer of employee stock options
First Named Inventor/Applicant Name:	Allen R. Friedman
Customer Number:	28221
Filer:	Daniel David Sierchio
Filer Authorized By:	
Attorney Docket Number:	36287-4402
Receipt Date:	18-JAN-2010
Filing Date:	17-SEP-2003
Time Stamp:	11:33:47
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	8307
Deposit Account	501358
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	IDS_Transmittal.pdf	340276 5f559b2c95e2babde1e99c35499dd3e16d7 42a8d	no	3

Warnings:
Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	IDS_Form.pdf	925813 654c51220dc33fd0b4b15d30a5a8e70bcd ae757	no	6
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Warnings:
Information:

3	NPL Documents	Dictionary_of_Finance_and_Investment.pdf	106091 729fbe018707b2dec7cd1b2f7110f446e4de 5c6c	no	3
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Warnings:
Information:

4	NPL Documents	Agenda_Items.pdf	649216 593421738e276127460454edfaee7b4d794 eb8a1	no	7
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Warnings:
Information:

5	NPL Documents	List_of_Documents.pdf	34033 7b0014f03bcf9ebaefe4505c0d3dec61a5ee 4c86	no	2
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Warnings:
Information:

6	NPL Documents	Proposal_2002_OECD.pdf	649216 593421738e276127460454edfaee7b4d794 eb8a1	no	7
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Warnings:
Information:

7	NPL Documents	Employee_Stock_Options_Become_Transferable.pdf	312754 5537f35bac9bdbbf514c01358cb140aa705 be711	no	5
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Warnings:
Information:

8	NPL Documents	Center_Point_Energy.pdf	1942318 64f6700b3328547cb64ec718d06ba0a96e7 14bf5	no	35
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Warnings:
Information:

9	NPL Documents	Employee_Stock_Options_Paper_Advisory.pdf	700397 f3b4cc2655ed30095e67f865904ff9cde39da84e	no	15
Warnings:					
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10	NPL Documents	Securities_and_Exchange_Commission.pdf	2524750 51735f815d0c516d2c1c2b211fc94776b0f59baa	no	74
Warnings:					
Information:					
11	NPL Documents	IRS_Stock_Based_Compensation_Audit.pdf	469287 87b5640cac5a8d8c6f01cb293ec21c41a36dc253	no	6
Warnings:					
Information:					
12	NPL Documents	Transferable_Option_Developments.pdf	124068 bad96e6402d2afc18f66b422b0c5e18dd4d30409	no	3
Warnings:					
Information:					
13	NPL Documents	Managing_Stock_Plan_Restructuring.pdf	5501549 2c57f52169e90d450b805532efd04c876f836781	no	24
Warnings:					
Information:					
14	NPL Documents	Microsoft_S3.pdf	3161562 1efdf6bc61d842e439699d8c04273ad227213ac1	no	35
Warnings:					
Information:					
15	NPL Documents	Microsoft_Registration_Agreement_Exhibit.pdf	4120576 fb742f926ce36a0db1855a33029ebd355435a33a	no	34
Warnings:					
Information:					
16	NPL Documents	Employee_Stock_Options_EU.pdf	1984843 c174bb4170fdf263def890cae6d137e42e1979ec	no	18
Warnings:					
Information:					
17	NPL Documents	Stock_Option_Programs.pdf	155314 e339ca353ca99f436899f9e8327dc77ae6dc06c8	no	3
Warnings:					
Information:					

18	NPL Documents	Taxation.pdf	166639 b322df450fe5f316be9c8b77a76d39032067 7f2a	no	2
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Warnings:

Information:

19	NPL Documents	Should_There_Exist_Secondary_Markets.pdf	114683 e1fa7ca05be20517e15d9de8ee99c90e440 e5557	no	2
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Warnings:

Information:

20	NPL Documents	Managers_Motives_for_Selling.pdf	532764 63a0c696557eb9bf0a63ef1782cae6329b08 8175	no	36
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Warnings:

Information:

21	NPL Documents	Evaluation_of_Executive_Stock_Options.pdf	6381561 a0c5334168bcb8c338a289c777b751b97b 850ca	no	189
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Warnings:

Information:

22	Fee Worksheet (PTO-875)	fee-info.pdf	29862 b27ce686a1fdcd4827dcf5ac0e7a3fb04edc a7b4	no	2
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Warnings:

Information:

Total Files Size (in bytes):	30927572
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.